

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

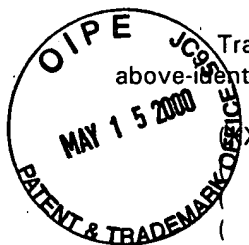
In re patent application of Vincent Ching Ping Li et al  
Serial No. 09/465,336  
Filing Date: 12/17/99  
For: Method for Charting Financial Market Activities

Examiner:  
Group Art Unit: 2768

Assistant Commissioner for Patents  
Washington, DC 20231

GP - 2768  
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Sir:



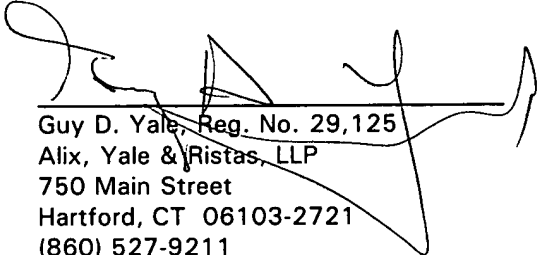
Transmitted herewith are a Preliminary Amendment and Power of Attorney by Inventors in the above-identified application.

- (X) A small entity statement was previously submitted in this application.  
( ) A small entity statement is enclosed.  
( ) A filing fee for extra claims does not appear required.  
( ) A filing fee for extra claims is calculated below:

No. of Claims Remaining After Amendment	Highest No. of Claims Previously Paid For	No. of Extra Claims	Fee For Small Entity		Fee For Other Than Small Entity	
			Rate	Fee	Rate	Fee
Total			x \$ 9 =	\$	x \$ 18 =	\$
Indep.			x \$ 39 =	\$	x \$ 78 =	\$
( ) First Presentation of Multiple Dependent Claims			+ \$ 130 =	\$	+ \$ 260 =	\$
			TOTAL = \$		TOTAL = \$	

- ( ) It is hereby petitioned that any required extension of time be granted for filing the amendment. An extension of \_\_\_\_ month(s) having a fee of \$ \_\_\_\_ appears required.
- ( ) A check in the amount of \$ \_\_\_\_ is attached. Please credit any overpayment to Deposit Account 16-2563 of Alix, Yale & Ristas, LLP.

The Commissioner is hereby requested and authorized to charge Deposit Account 16-2563 of Alix, Yale & Ristas, LLP for any fee, not enclosed herewith, due for any reason in connection with the amendment or this or any other document accompanying the amendment, including (a) any filing fees under 37 CFR 1.16 for the presentation of extra claims and (b) any patent application processing fees under 37 CFR 1.17. A duplicate copy of this sheet is attached.

  
Guy D. Yale, Reg. No. 29,125  
Alix, Yale & Ristas, LLP  
750 Main Street  
Hartford, CT 06103-2721  
(860) 527-9211

Attorney's Ref: HKPC/196/US

I hereby certify that this correspondence is being deposited on the date below with the United States Postal Service as first class mail in an envelope addressed to "Assistant Commissioner for Patents, Washington, DC 20231."

Signature: 

Guy D. Yale

Reg. No. 29,125

Date May 11, 2000